

## **Preface to the 33<sup>rd</sup> Edition January 2021**

(Note: The word “Preliminary” in the title indicates a pre-printed edition.)

### **Introduction to the Harmonized Tariff Schedule**

The Harmonized Tariff Schedule of the United States, Annotated for Statistical Reporting Purposes (HTS), is published by the U.S. International Trade Commission (Commission) as directed by Congress in section 1207 of the Omnibus Trade and Competitiveness Act of 1988 (Public Law 100-418; 19 U.S.C. 3007) (1988 Act).<sup>1</sup> Pursuant to that Act, this edition of the HTS contains the current legal (enacted or proclaimed) and non-legal (statistical or reference) provisions specifically designated as such in section 1204(a) of the 1988 Act (102 Stat. 1148).<sup>2</sup> All goods imported into the United States are subject to the provisions of the HTS, as well as to regulations of U.S. Customs and Border Protection (Customs) and the many laws that Customs enforces. General notes 1 through 3 explain the structure of the HTS and define terms and symbols used throughout the schedule. The Commission publishes annual editions of the HTS on January 1, as well as any printed supplements and on-line revisions that may be needed during the calendar year to keep each annual edition current. In addition to showing tariff and statistical changes effective as of January 1, 2020, this edition contains all changes since the last printed edition of January 2019, as reflected in the Change Records to each revision. Twenty online revisions were posted to update the 2019 schedule.

Because section 1204(c) of the 1988 Act states that the Commission’s publications of the HTS, as updated, are statutory in nature, the HTS represents the authoritative compilation of the tariff and statistical provisions applicable to goods in trade. Other resources provided by the Commission, such as the online trade Data Web or the HTS search tool, can assist in identifying the treatment of goods in trade, but importers are encouraged to rely primarily on the current official HTS itself in preparing Customs documents. The classification of imported goods is first the legal responsibility of importers, while the interpretation of the HTS with regard to such goods is the responsibility of Customs. Except for goods listed in the Notice to

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<sup>1</sup> Section 1207(a) of the 1988 Act provides that “[t]he Commission shall compile and publish, at appropriate intervals, and keep up to date the Harmonized Tariff Schedule and related information in the form of printed copy....” Section 1207(b) of the 1988 Act provides that the published copy of the HTS “shall contain— (1) the then current Harmonized Tariff Schedule; (2) statistical annotations and related statistical information formulated under section 484(f) of the Tariff Act of 1930 (19 U.S.C. 1484(f)); and (3) such other matters as the Commission considers to be necessary or appropriate to carry out the purposes enumerated in the Preamble to the [Harmonized System] Convention.” The Commission’s Office of Tariff Affairs and Trade Agreements is primarily responsible for the HTS and related matters.

<sup>2</sup> The legal text of the HTS includes the General Rules of Interpretation (GRIs); Additional U.S. Rules of Interpretation; General Notes; chapters 1 through 99 (organized into sections I through XXII); section and chapter notes (including additional U.S. notes); headings and subheadings through the 8-digit level (with their numbers, article descriptions, tariff rates, and special tariff programs); Chemical Appendix; Pharmaceutical Appendix; and Intermediate Chemicals for Dyes Appendix. The non-legal text includes the 10-digit statistical reporting numbers (annotations), notes, annexes, suffixes, and units of quantity; table of contents; footnotes; index; and similar elements.

Exporters, goods being exported from the United States can also be reported under the HTS provisions covering them; the goods listed in that Notice must instead be reported under provisions of Schedule B,<sup>3</sup> administered by the United States Census Bureau (Census).

The HTS contains the structured nomenclature commonly known as the Harmonized System (HS),<sup>4</sup> whose numbered provisions appear in the schedule as 4-digit headings and subordinate 6-digit subheadings of chapters 1 through 97.<sup>5</sup> The narrowest legal categories appear as 8-digit U.S. subheadings together with their rates of duty; some HS product categories are not subdivided but end in zeroes and have U.S. duty rates attached to them. Statistical provisions that may appear at the 10-digit level do not affect the legal classification of goods in trade. The 4- and 6-digit HS provisions are administered by the World Customs Organization (WCO), and their wording, numbering, and coverage are internationally agreed and subject to periodic changes (usually implemented every five years, with the last such changes becoming effective in 2017).<sup>6</sup> In general, requests for changes in the HS notes or nomenclature should be submitted first to the Commission for appropriate review and potential submission to the WCO, while changes in HTS legal provisions or rates of duty must be enacted.

The HS General Rules of Interpretation (GRIs) and the Additional U.S. Rules of Interpretation are applied to the legal provisions of chapters 1 through 97 to determine how goods should be classified in the headings and subheadings of the HTS. Although classification is largely uniform among countries using the HS, national differences may exist due to judicial or customs rulings or national legislation, and may also exist with regard to newly developed types of goods. Because the HTS is a hierarchical system of product description, in which goods are classified “from the top down,” it is not possible to classify all goods in trade by doing an electronic search. Thus, the potentially applicable 4-digit headings should first be compared to find the most specific heading; once a heading is chosen, its provisions at the first indentation level in the nomenclature structure should be compared, and that comparison continues at each indentation level until a legal classification is possible. Goods are classified in the provisions of chapters 1 through 97, although many shipments may be eligible for different duty treatment under U.S. chapters 98 or 99 on proper documentation.

The nonlegal statistical elements that appear in the HTS are formulated by an interagency committee authorized under section 484(f) of the Tariff Act of 1930 (19 U.S.C. 1484(f)) and chaired by the Commission. Information about the operation of this Committee and procedures for requesting statistical changes in the HTS or in the export schedule, Schedule B, are set forth later in this preface. Other nonlegal elements are included for the

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<sup>3</sup> See <http://www.census.gov/foreign-trade/schedules/b/>.

<sup>4</sup> The Harmonized Commodity Description and Coding System is set forth in an annex to the Harmonized System Convention administered by the World Customs Organization (WCO), and became effective for the United States as of January 1, 1989.

<sup>5</sup> A “heading” is a provision whose article description is not indented, while a “subheading” (6- or 8- digit) has an indented and subordinate description covering a subset of the heading’s product scope.

<sup>6</sup> These changes are proclaimed in the HTS by the President under section 1206 of the 1988 Act, following a USITC investigation under section 1205 of that Act and the required Congressional lay-over. See sections 1205-1206 of the 1988 Act (19 U.S.C. 3005-3006).

convenience of the user. For example, footnotes (which may elaborate on a provision or refer to another HTS provision) are merely informational, their presence or absence has no legal effect, and the language contained in footnotes has no effect on the legal text or its interpretation. Compiler's notes are also added to provide information to users of the HTS, especially where provisions have expired or may not have been updated. A list of legal instruments and nonlegal actions affecting each HTS edition is set forth in the preface and, together with the change record and chapters 98 and 99, should be consulted to locate any actions that may apply to specific goods. (See changes pertaining to the current edition, below.)

Note that this edition of the HTS does not contain complete updates to the rules of origin used to determine product eligibility under U.S. free trade agreements. Updates to existing rules are negotiated to take into account the changes made in the HS, which are reflected in the HTS and the national schedules of partner countries. A Compiler's Note, set forth below the Additional U.S. Rules of Interpretation, provides updated information on the status of each agreement's rules. Customs officials should be consulted when the rules of origin and the numbering and structure of updated headings/subheadings do not align.

As noted earlier, the HTS is printed and posted for January 1 of each year in which staged duty reductions or other major legal changes must be reflected. Online electronic revisions to the printed HTS are posted to the Commission's web site ([www.usitc.gov](http://www.usitc.gov)) periodically when the HTS is changed, along with links to the public laws, Presidential proclamations or Federal Register notices that make such changes. Large-scale changes affecting many chapters may also be issued as printed supplements; however, in some years no printed supplements are issued and all changes are reflected in online revisions. The entire HTS is posted for each revision, even if changes are not made in all chapters. Using the change record for a revision can identify its modifications, but the change records for individual revisions in any year are not cumulative of all changes since the last printed edition or supplement. Each revision's preface lists the documents making legal or statistical changes noted in the change record. The change record in any printed edition or supplement contains all changes since the previous printed document (and thus cumulates all changes from online revisions since that printing).

### **Changes in the current edition**

The changes in this revision reflect the following instruments and actions taken since the 2021 HTS Preliminary Revision 2 of January 11, 2021.

Presidential Proclamation 10133 of January 14, 2021: To Continue Facilitating Positive Adjustment to Competition From Imports of Large Residential Washers (86 FR 6541 of January 21, 2021), effective February 8, 2021.

Notice of the United States Trade Representative, "Notice of Amendment to Product Exclusion and Product Exclusion Extension: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" 86 FR 4171 of January 15, 2021), effective February 5, 2021.

## **Changes in the 2021 HTS Preliminary Revision 2**

The changes in the 2021 HTS Preliminary Revision 2 reflect the following instruments and actions taken since the 2021 HTS Preliminary Revision 1 Edition of January 6, 2021. The changes include the indefinite suspension of the Section 301 Action in response to France's Digital Service Tax that were added in the 2021 HTS Preliminary Revision 1.

Notice of the United States Trade Representative, "Notice of Revision of Section 301 Action: Enforcement of U.S. WTO Rights in Large Civil Aircraft" (86 Fed. Reg. 674 of January 6, 2021), effective January 12, 2021.

Notice of the United States Trade Representative, "Notice of Action in the Section 301 Investigation of France's Digital Services Tax" (86 Fed. Reg. 2479 of January 12, 2020), effective January 6, 2021.

## **Changes in the 2021 HTS Preliminary Revision 1**

The changes in the 2021 HTS Preliminary Revision 1 reflect the following instrument and action taken since the 2021 HTS Preliminary Edition of January 1, 2021.

Notice of the United States Trade Representative, "Notice of Action in the Section 301 Investigation of France's Digital Services Tax" (85 Fed. Reg. 43292 of July 16, 2020), effective January 6, 2021.

## **Changes in the 2021 HTS Preliminary Edition**

The enumeration below sets forth instruments or actions with effective dates affecting provisions of the tariff schedule as of December 30, 2020 or January 1, 2021. Due to the large number of revisions and changes to the tariff schedule this year, a separate list of legal instruments affecting its provisions between January 2020 and the end of 2020 follows this list's item 6.

- (1) Presidential Proclamation 10107 of October 30, 2020: Modifications to the Harmonized Tariff Schedule of the United States Concerning Duty-Free Treatment under the Generalized System of Preferences and for other purposes (85 Fed. Reg. 70027 of November 4, 2020), effective November 1, 2020.
- (2) Presidential Proclamation 10128 of December 22, 2020: To Take Certain Actions Under the African Growth and Opportunity Act and for Other Purposes (85 Fed. Reg. 85491 of December 29, 2020), effective January 1, 2021.
- (3) Notice of the United States Trade Representative, "Notice of Product Exclusion Extensions and Additional Modifications: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 85831 of December 29, 2020), effective January 1, 2021.
- (4) Changes approved by the Committee for Statistical Annotation of Tariff Schedules (formulated pursuant to section 484(f), Tariff Act of 1930, as amended), effective January 1, 2021; and

- (5) Corrections of non-substantive typographical or format errors in prior editions.

The principal changes to the tariff schedule which became effective after January 1, 2020, the last printed edition, and prior to the close of December, resulted from the instruments or actions enumerated below; proclamations are arranged starting with the earliest issued instrument.

- (1) Presidential Proclamation 9979 of January 23, 2020: To Further Facilitate Positive Adjustment to Competition from Imports of Large Residential Washers (85 Fed. Reg. 5125), effective February 7, 2020;
- (2) Presidential Proclamation 9980 of January 24, 2020: Adjusting Imports of Derivative Aluminum Articles and Derivative Steel Articles Into the United States (85 Fed. Reg. 5181), effective February 8, 2020;
- (3) Presidential Proclamation 10053 of June 29, 2020: To Implement USMCA and for Other Purposes (85 F.R. 39821), effective July 1, 2020;
- (4) Presidential Proclamation 10053 of June 29, 2020: To Implement USMCA and for Other Purposes (85 F.R. 39821). Annex IV: Technical Rectifications to the Rules of Origin for the United States-Singapore Free Trade Agreement, as Reflected In the Harmonized Tariff Schedule of the United States; effective September 1, 2020.
- (5) Presidential Proclamation 10060 of August 6, 2020, "Adjusting Imports of Aluminum into the United States" (85 Fed. Reg. 49921 of August 14, 2020), effective August 16, 2020.
- (6) Presidential Proclamation 10064 of August 28, 2020: Adjusting Imports of Steel into the United States (85 FR 54877 of September 2, 2020), effective August 28, 2020.
- (7) Presidential Proclamation 10101 of October 10, 2020: To Further Facilitate Positive Adjustment to Competition from Imports of Certain Crystalline Silicon Photovoltaic Cells (Whether or Not Partially or Fully Assembled Into Other Products) (85 Fed. Reg. 65639), effective October 25, 2020.
- (8) Presidential Proclamation 9955 of October 25, 2019: To Modify Duty-Free Treatment Under the Generalized System of Preferences and For Other Purposes (84 Fed. Reg. 58567), operative Annex 2 relating to Thailand effective April 25, 2020.
- (9) Presidential Proclamation 10106 of October 27, 2020: Adjusting Imports of Aluminum Into the United States (85 Fed. Reg. 68709 of October 30, 2020), effective September 1, 2020.
- (10) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 549 of January 6, 2020), effective September 24, 2018.
- (11) Notice of the United States Trade Representative, "Notice of Modification of Section 301 Action: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 3741 of January 22, 2020), effective February 14, 2020.
- (12) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 6674 of February 5, 2020), effective September 24, 2018.
- (13) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 7816 of February 11, 2020), effective July 6, 2018.

- (14) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 9921 of February 20, 2020), effective September 24, 2018.
- (15) Notice of the United States Trade Representative, "Notice of Modification of Section 301 Action: Enforcement of U.S. WTO Rights in Large Civil Aircraft Dispute" (85 Fed. Reg. 10204 of February 21, 2020), effective March 5, 2020.
- (16) Notice of the United States Trade Representative, "Notice of Product Exclusion: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 10808 of February 25, 2020), effective August 23, 2018.
- (17) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 15849 of March 19, 2020), effective September 1, 2019.
- (18) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 17158 of March 26, 2020), effective September 1, 2019.
- (19) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 17936 of March 31, 2020), effective September 1, 2019.
- (20) Notice of the United States Trade Representative, "Notice of Product Exclusions Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 20332 of April 10, 2020).
- (21) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 23122 of April 24, 2020), effective September 24, 2018.
- (22) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 27489 of May 8, 2020).
- (23) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 28691 of May 13, 2020).
- (24) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 28692 of May 13, 2020).
- (25) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 28693 of May 13, 2020).
- (26) Notice of the United States Trade Representative, "Notice of Product Exclusions Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 29503 of May 15, 2020).
- (27) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 32094 of May 28, 2020).
- (28) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 32098 of May 28, 2020).

- (29) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 33775 of June 2, 2020).
- (30) Notice of the United States Trade Representative, "Notice of Product Exclusions Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 34798 of June 8, 2020).
- (31) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 35975 of June 12, 2020).
- (32) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 38000 of June 24, 2020), September 24, 2018, and before August 7, 2020.
- (33) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 41267 of July 9, 2020), effective July 9, 2020.
- (34) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 41658 of July 10, 2020), effective September 24, 2018 and September 1, 2019.
- (35) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 42968 of July 15, 2020), effective September 24, 2018.
- (36) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 42970 of July 15, 2020), effective July 6, 2018 and before October 2, 2020.
- (37) Notice of the United States Trade Representative, "Notice of Product Exclusions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 43292 of July 16, 2020), effective August 23, 2018 and before October 2, 2020.
- (38) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 44563 of July 23, 2020), effective September 1, 2019.
- (39) Notice of the United States Trade Representative, "Notice of Product Exclusions Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 45949 of July 30, 2020), effective July 31, 2020 and before December 31, 2020.
- (40) Notice of the United States Trade Representative, "Notice of Product Exclusion Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 48600 of August 11, 2020), effective September 1, 2019.
- (41) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 48627 of August 11), effective August 11, 2020 and before December 31, 2020.

- (42) Notice of the United States Trade Representative, "Notice of Product Exclusion Amendment: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 49415 of August 11), effective August 11, 2020 and before December 31, 2020.
- (43) Notice of the United States Trade Representative, "Notice of Product Exclusion Amendment: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 49414 of August 13), effective August 23, 2018.
- (44) Notice of the United States Trade Representative, "Notice of Modification of Section 301 Action: Enforcement of U.S. World Trade Organization (WTO) Rights in Large Civil Aircraft Dispute" (85 Fed. Reg. 50866 of August 18, 2020), effective September 1, 2020.
- (45) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 52188 of August 24, 2020), effective between September 24, 2018 and August 7, 2020.
- (46) Notice of the United States Trade Representative, "Notice of Product Exclusions and Amendments: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 54616 of September 1, 2020), effective between September 1, 2019 and before December 31, 2020.
- (47) Notice of the United States Trade Representative, "Notice of Effective Date of Modifications to the Harmonized Tariff Schedule of the United States Concerning the Dominican Republic-Central America-United States Free Trade Agreement" (85 Fed. Reg. 55059 of September 3, 2020), effective November 1, 2020.
- (48) Notice of the United States Trade Representative, "Notice of Product Exclusion Extension Amendment: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 57925 of September 7, 2020), effective between August 7, 2019 and before December 31, 2020.
- (49) Notice of the United States Trade Representative, "Notice of Product Exclusion Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 59587 of September 22, 2020), effective September 20, 2019 and before December 31, 2020.
- (50) Notice of the United States Trade Representative, "Notice of Product Exclusion Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 59595 of September 22, 2020), effective September 20, 2019 and before December 31, 2020.
- (51) Notice of the United States Trade Representative, "Technical Corrections to the Harmonized Tariff Schedule of the United States" (85 Fed. Reg. 62782 of October 5, 2020), effective July 1, 2020.
- (52) Notice of the United States Trade Representative, "Notice of Product Exclusion Extension Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 62782 of October 5, 2020), effective between October 2, 2020 and before December 31, 2020.
- (53) Notice of the United States Trade Representative, "Notice of Product Exclusion Extensions: China's Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation" (85 Fed. Reg. 62786 of October 5, 2020), effective October 2, 2020 and before December 31, 2020.



- (54) Notice of the United States Trade Representative, “Notice of Product Exclusion Extension Amendment: China’s Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation” (85 Fed. Reg. 63329 of October 7, 2020), effective September 24, 2018.
- (55) Notice of the United States Trade Representative, “Notice of Product Exclusion Amendment: China’s Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation” (85 Fed. Reg. 63330 of October 7, 2020), effective September 1, 2019.
- (56) Notice of the United States Trade Representative, “Notice of Product Exclusion Amendment: China’s Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation” (85 Fed. Reg. 63331 of October 7, 2020), effective September 1, 2019.
- (57) Notice of the United States Trade Representative, “Notice of Product Exclusion Amendment: China’s Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation” (85 Fed. Reg. 63332 of October 7, 2020), effective August 7, 2020.
- (58) Notice of the United States Trade Representative, “Notice of Effective Date of Modifications to the Harmonized Tariff Schedule of the United States Concerning the United States-Colombia Trade Promotion Agreement” (85 Fed. Reg. 68619 of October 29, 2020), effective January 1, 2020.
- (59) Notice of the United States Trade Representative, “Notice of Product Exclusion Amendments: China’s Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation” (85 Fed. Reg. 72748 of November 13, 2020), effective between September 24, 2018 through August 7, 2020.
- (60) Notice of the United States Trade Representative, “Notice of Product Exclusion Amendments: China’s Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation” (85 Fed. Reg. 73590 of November 18, 2020), effective between August 23, 2018 through July 31, 2020. (Modifies U.S. note 20(o)(14) to subchapter III of chapter 99.)
- (61) Notice of the United States Trade Representative, “Notice of Product Exclusion Amendments: China’s Acts, Policies, and Practices Related to Technology Transfer, Intellectual Property, and Innovation” (85 Fed. Reg. 73590 of November 18, 2020), effective between July 31, 2020 through December 31, 2020. (Modifies U.S. note 20(ggg)(4) to subchapter III of chapter 99.)
- (62) Judicial action, INVENERGY RENEWABLES LLC, et al. v. THE UNITED STATES et al., Temporary Restraining Order issued by the Court of International Trade in Court No. 19-00192 (Case 1:19-cv-00192-GSK Document 270 Filed 10/24/20).
- (63) Changes approved by the Committee for Statistical Annotation of Tariff Schedules (formulated pursuant to section 484(f), Tariff Act of 1930, as amended), effective various dates throughout 2020;
- (64) Corrections of non-substantive typographical or format errors in prior editions.

## **Statistical reporting under the HTS**

The statistical annotations contained in the HTS are used to gather trade data on specified classes of merchandise that are narrower than those indicated in legal provisions. The annotations (including their statistical suffixes and units of quantity) specify particular information that must be supplied on customs entry and withdrawal forms or in electronic filings with respect to imported or exported articles. As noted

above, for all products other than those specified in the Notice to Exporters, HTS 10-digit provisions may be used in place of the provisions of Schedule B for reporting exports on the shipper's export declaration or under the program for electronic reporting of exports. The regulations and procedures of Customs and of Census should also be consulted to ensure that statistical reporting is correct and that all procedural and legal requirements are met. Note that the legal provisions of the HTS control the tariff classification of merchandise, and that importers are legally responsible for applying all legal provisions of the HTS in doing so. Merchandise must be described by the superior 4-, 6-, and/or 8-digit legal provision of the HTS in order to be reported under any 10-digit statistical reporting number.

Interested parties or their representatives may request changes to the statistical annotations of the HTS or Schedule B. Such requests should be made under section 484(f) of the Tariff Act of 1930<sup>7</sup> and directed to the Committee for Statistical Annotation of Tariff Schedules. Requests should be submitted no later than March 15 for changes to be effective on the subsequent July 1, and no later than July 15 for changes to be effective on January 1 of the following year. Parties should specify if the proposed change relates only to the HTS or Schedule B, or to both. These requests should be submitted to the Committee Chairman at the address below, or by electronic mail to [484f@usitc.gov](mailto:484f@usitc.gov).

Chairman

The Committee for Statistical Annotation of Tariff Schedules United States  
International Trade Commission  
Washington, D.C. 20436

Each request filed with the Committee should indicate precisely the nature of each desired change. The exact proposed language of any category to be inserted, modified, or deleted (including any relevant units of quantity) along with detailed reasons for the request should be submitted. Confidential business information should not be submitted to the Committee. For requests relating to imported products, the requestor should provide the names of importers and foreign manufacturers (when known) that are associated with the articles in question and should indicate the countries from which the articles are being imported. Comparable information about exporters and domestic manufacturers should be supplied for a request pertaining only to exports. A proposed statistical category under

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<sup>7</sup> Sec. 484(f) reads as follows:

“(f) Statistical Enumeration.— The Secretary, the Secretary of Commerce, and the United States International Trade Commission shall establish from time to time for statistical purposes an enumeration of articles in such detail as in their judgment may be necessary, comprehending all merchandise imported into the United States and exported from the United States, and shall seek, in conjunction with statistical programs for domestic production and programs for achieving international harmonization of trade statistics, to establish the comparability thereof with such enumeration of articles. All import entries and export declarations shall include or have attached thereto an accurate statement specifying, in terms of such detailed enumeration, the kinds and quantities of all merchandise imported and exported and the value of the total quantity of each kind of article.”

which three (3) or fewer importers or exporters would likely report shipments generally will not be approved, in order to avoid the disclosure of confidential business information.

The Committee has adopted changes effective July 1, 2016, that will pertain to the effective period, renewal, deletion, and reinstatement of 10-digit statistical reporting numbers and other provisions:

Initial period.—With respect to any new statistical provision of the HTS or Schedule B (whether for a statistical note or statistical reporting number(s)) for which any request is submitted in any year, commencing as of January 1, 2016, and as to which the Committee grants the request in whole or in part, with or without modifications, the effective period of such action shall be for an initial period of five (5) years starting on the date on which the provisions so approved are inserted in the HTS or in Schedule B, unless the requestor specifies that an effective period of fewer than five (5) years is being sought.

Renewal requests.—

*First renewal request.*—If the original requestor (or a successor party in interest) of a provision described in the preceding paragraph desires that the provision(s) so implemented should continue in effect for a second five-year period, that person or party must file a renewal request stating that the provision(s) approved or other action taken by the Committee should be retained in effect for such second period. Such a renewal request should be filed by the date that is not later than five (5) years after the date on which the Committee granted the original request in whole or in part, with or without modifications. The request may seek further modifications in the covered provisions for Committee consideration. Such a renewal request will normally be granted, subject to the criteria then in effect for new statistical reporting numbers, unless zero trade has been reported under any such statistical reporting number during the five (5) years following implementation.

*Further renewal requests.*—Additional renewal requests must be filed for any such provision to continue in effect for a third five-year period or for any succeeding period, to be filed by the date that is not later than five years from the date on which the Committee granted the immediately prior request for renewal. The requester may request renewal of any statistical category for a shorter period of time (i.e., for a set period of fewer than five years as specified in such a request). Any such renewal request will normally be granted, subject to the criteria then in effect for new statistical reporting numbers, unless zero trade has been reported under any such statistical reporting number during the five (5) years following implementation.

Deletion and potential reinstatement of zero-trade categories.

*Sunset of zero-trade categories.*—If no trade has been reported under any statistical reporting number of the HTS or Schedule B that was—

- (1) in a request covered by the paragraph entitled “Initial effective period” above, or
- (2) not originally requested by a government agency and first appeared in the HTS or in Schedule B on or after July 1, 2016, regardless of when such statistical reporting number was requested,

during any period of five (5) successive years, the Committee will delete that provision from the HTS or Schedule B at its next regular meeting, effective on the following January 1.

*Requests for reinstatement.*—The Committee will nonetheless receive requests at any time for reinstatement of any provision so deleted, and any such request will be placed on the agenda for the next regular meeting. Subject to the Committee’s criteria for new statistical reporting numbers in effect on the date of such a subsequent request, any reinstatement approved by the Committee shall take effect on the date specified by the Committee, without retroactive effect.

Because of the non-legal status of statistical provisions under section 1204 of the 1988 Act, statistical provisions should not be enacted or referenced in proposed tariff legislation or other legal instruments in a manner that attempts to define the customs treatment of goods in trade. Questions regarding the drafting or treatment of such requests or any other questions regarding these provisions should be addressed to the Committee, by mail to the Committee Chairman, by telephone at (202) 205-2592, or by email to [484f@usitc.gov](mailto:484f@usitc.gov). Information regarding Schedule B provisions only can be obtained from Census officials at <http://www.census.gov/foreign-trade/schedules/b/>.